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Docket No. 3336.1017-001

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Applicant: Foster D. Hinshaw, Craig S. Harris and Sunil K. Sarin

Application No.: 10/646,522 Filed: August 22, 2003

For: CONTROLLING VISIBILITY IN MULTI-VERSION DATABASE SYSTEMS

Netezza Corporation

(Name of Assignee)

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. [X] the assignee of the entire right, title and interest in the patent application identified above; or
B. [] an assignee together with [] of the entire right, title and interest in the patent application identified above.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel , Frame , or a copy thereof is attached.

OR

- B. [] A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

- 1. From: To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or a copy thereof is attached.
2. From: To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or a copy thereof is attached.
3. From: To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date: 1/12/04

Name: PJ Scannell Jr.

Title: CFO

Signature: [Handwritten Signature]

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ASSIGNMENT**COPY**Joint

WHEREAS, we, **Foster D. Hinshaw, Craig S. Harris and Sunil K. Sarin**, have invented a certain improvement in **Controlling Visibility in Multi-Version Database Systems** described in an application for Letters Patent of the United States,

- ☐ the specification of which is being executed on even date herewith and is about to be filed in the United States Patent Office (*use for 37 CFR §1.53(b) filings only*);
- ☒ the specification of which was filed on **August 22, 2003** as United States Application No. **10/646,522**;
- ☐ the specification of which is the United States National Stage of International Application No. [PCT Appl'n No.], filed on [PCT Filing Date] [OPTION and assigned United States Application No. [] (*use for 35 USC §371 filings only*);
- ☐ which was patented under United States Patent No. [] on [] .

WHEREAS, **Netezza Corporation** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, and having a usual place of business at **200 Crossing Boulevard, Framingham, Massachusetts 01702** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the

execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: _____

Foster D. Hinshaw

State/Commonwealth _____

of Massachusetts

County of Middlesex

Then personally appeared before me the above-named **Foster D. Hinshaw** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 12th day of November, 2003.

(SEAL)

David M. Watson

Notary Public

David M. Watson

(print name)

My Commission expires 6 / 5 / 2009

Inventor's Signature: _____

Craig S. Harris

Craig S. Harris

State/Commonwealth

of Massachusetts

County of Middlesex

Then personally appeared before me the above-named **Craig S. Harris** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 29th day of October, 20 03.

(SEAL)

David M. Watson

Notary Public

David M. Watson

(print name)

My Commission expires 6 / 5 / 2009

Inventor's Signature: _____

Sunil K. Sarin

Sunil K. Sarin

State/Commonwealth

of Massachusetts

County of Middlesex

Then personally appeared before me the above-named **Sunil K. Sarin** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 13th day of November, 20 03.

(SEAL)

David M. Watson

Notary Public

David M. Watson

(print name)

My Commission expires 6 / 5 / 2009